

Santa Monica-Malibu Unified School District

Investment Provider Listing



Below is a list of the approved Investment Providers for the Employer's 403(b) Plan.
The Salary Amendment Agreement can be found following the Investment Provider Listing.

403bcompare Code	Investment Provider/Vendor Name	No Monthly Fee	\$3 Monthly Fee (through payroll deduction)
1164	American Century Investments		X
1062	American Fidelity Assurance Company	X	
1057	American Funds Distributors, Inc. (AFD)		X
1128	American United Life (AUL), a OneAmerica Financial Partner		X
1035	Americo Financial Life and Annuity Insurance Company	X	
1041	Ameriprise Financial Inc.	X	
1027	Ameritas Life Insurance Corp.	X	
1096	Annuity Investors Life Insurance Company	X	
1021	Aviva Life and Annuity Company*	X	
1067	AXA Equitable Life Insurance Company	X	
1097	CalSTRS		X
1184	Cambridge Investment Research/M&I Trust		X
1018	Commonwealth Annuity and Life Insurance Company	X	
1104	CUSO Financial Services, L.P. (CFS)		X
1133	Fidelity Investments		X
1077	First Investors Corporation	X	
1025	Franklin Templeton Investments		X
1148	FTJ FundChoice, LLC		X
1092	Great American Life Insurance Company	X	
1197	Great Southern Life Insurance Company	X	
1084	Great-West Life & Annuity Insurance Co.	X	
1113	GWN Securities, Inc	X	
1009	Hartford Life Insurance Company		X
1014	Horace Mann Companies	X	
1135	Industrial-Alliance Pacific Life Ins Co, US Branch	X	
1059	ING - ReliaStar Life Insurance Company	X	
1060	ING Life Insurance & Annuity Company	X	
1108	Jackson National Life Ins. Co.		X
1052	Legend Group; The	X	
1036	Life Insurance Company of the Southwest	X	
1068	Lincoln Investment Planning, Inc.	X	
1029	Lincoln Nat'l Life Ins Co (Lincoln Fin Grp), The	X	
1074	MassMutual, through its subsidiary, C.M. Life Insurance Co.	X	
1073	MetLife Insurance Company of Connecticut	X	
1024	Metropolitan Life Insurance Co / MetLife/ MetLife Resources	X	
1043	Midland National	X	
1015	Modern Woodmen of America	X	
1188	National Health Insurance Co (NHIC)	X	
1144	Nationwide Life Insurance Company*		X
1010	Neuberger Berman Management LLC		X
1083	New York Life Ins. & Annuity Corp.	X	
1472	North American Company for Life and Health	X	
1121	Oppenheimer Funds Distributor, Inc	X	
1130	Pacific Life Insurance Company		X
1718	Pentegra Retirement Services†	X	
1030	PFS Investments Inc	X	
1127	PlanMember Services Corp	X	
1179	Principal Funds Distributor, Inc. (PFD)		X
1145	Putnam Investments	X	

1174	RSG Securities/Benefit Trust Company		X
1022	Security Benefit	X	
1087	Symetra Life Insurance Company	X	
1005	T. Rowe Price		X
1038	Thrivent Financial for Lutherans	X	
1066	Thrivent Investment Management Inc.	X	
1023	TIAA-CREF (Teacher's Ins & Annuity Assoc of America)		X
1413	Transamerica Financial Life Insurance Company	X	
1076	Transamerica Fund Services, Inc.	X	
1160	Transamerica Life Insurance Company	X	
1090	United Teacher Associates Insurance Company	X	
1171	UNUM		X
1142	USAA Investment Management Company		X
1053	USAA Life Insurance Company		X
1117	VALIC		X
1102	Vanguard Group, The		X
1042	Waddell & Reed, Inc	X	
1162	Western National Life Insurance Company		X

Monthly Fee

A fee of \$3 per month for every month of participation is charged to each vendor by the employer's third party administrator to cover the costs of administering the employer's 403(b) plan. Many vendors have agreed to pay this fee and not pass it along to participants. Other vendors will require that the fee be paid by the participant; participant payments are completed through an after-tax payroll deduction.

Investment Information

Please consult with your financial advisor regarding your investment options. Investment information and comparisons are available at www.403bcompare.com.

** This company is no longer accepting new 403(b) contributions and therefore no fee will be assessed on the current accounts*

† This company will pay a portion of the fee and will charge the participant's account for the remainder of the fee